

**STATE UNIVERSITY SYSTEM OF FLORIDA  
BOARD OF GOVERNORS  
Audit and Compliance Committee  
January 30, 2025**

**SUBJECT:** Results of the Office of Inspector General and Director of Compliance  
Five-year External Review of the Compliance Program

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**PROPOSED COMMITTEE ACTION**

To review and discuss the results of the five-year external review of the OIGC's compliance program.

**AUTHORITY FOR BOARD OF GOVERNORS ACTION**

Article IX, Section 7, Florida Constitution

**BACKGROUND INFORMATION**

The Board of Governors Office of Inspector General and Director of Compliance (OIGC) designed its compliance program in accordance with the United States Sentencing Guidelines' publication, the *Federal Sentencing Guidelines for Organizations*. These Guidelines and the Board of Governors Compliance Program require an external quality assessment be performed of the compliance program at least once every five years by a qualified, independent assessor.

To perform the assessment, we contacted Nelson Perez, executive director of the Office of University Compliance Services for the University of Miami. Mr. Perez has extensive experience in higher education compliance and has earned the Certified Compliance and Ethics Professional (CCEP) designation from the Society for Corporate Compliance and Ethics (SCCE). He also assisted in the compliance program development for Florida International University in his previous role as the assistant compliance officer & export control administrator. He accepted the opportunity and recruited James Allsman to assist. Mr. Allsman is also an experienced compliance professional and is currently serving as the strategic compliance, ethics & audit officer at Thomas Jefferson University in Pennsylvania. We are deeply indebted to Mr. Perez and Mr. Allsman for volunteering their time and expertise in conducting the program review for us.

Inspector General and Director of Compliance Julie Leftheris will summarize the assessment results for the Committee.

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**Supporting Documentation Included:**

OIGC Compliance Program  
Five-year Review, Final Report

**Facilitators/Presenters:**

Ms. Julie Leftheris