



BOARD *of* GOVERNORS

State University System of Florida

Audit and Compliance Committee Update

Joe Maleszewski, Inspector General and Director of Compliance
October 8, 2014

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Board of Governors Florida A&M University – CAP Update

- Developed by board office staff to monitor corrective actions taken in response to the Auditor General, Inspector General, and other reviews and reports
- Accountability partnership to ensure transparency
- High-level tracking and monitoring
- FAMU corrective action plans are more comprehensive and granular
- Audit Briefing - President Mangum



UPDATE: FAMU Corrective Action Plan

Corrective Action Plan Status Summary

Area	Issues	Completed ✓	Good Progress ●
Audit & Compliance	10	10	0
Finance	9	8	1
Academics & Accreditation	5	5	0
Facilities & Construction	2	2	0
Hazing & Student Code of Conduct	4	4	0
TOTAL	30	29	1



Board of Governors Florida A&M University – CAP Follow-up

- Significant Progress Made
- Follow-up Recommended:
 - Intercollegiate Athletics Program Cash Deficits
 - Permanent and Stable Leadership Team
 - Facilities and Construction – Review Results
- Six-month Reports to the AACCC



PBF – 2014 Data Integrity Activities

- **January** – Board Direction to AACCC
- **March** – AACCC 2-Year Plan
- **March** – Board Processes – University Data Submissions
- **May** – State University Audit Council
- **June** – Data Committee Work Shop
- **June 27th** – Chair’s Letter: Certification and Audit



PBF – Data Integrity Certification

PBF Data Integrity Certification:

- 11 Representations based on Board Regulations
- Executed by each University President
- Approved by each BOT Chair
- Submitted to the Board by March 1, 2015



PBF – Data Integrity

University Data Integrity Audit:

- Support Certification
- Scope and Objectives
- Mapping Submission to PBF Metrics
- Deadline - March 1, 2015
- Corrective Action Plans – within 30 days



Office of Inspector General and Director of Compliance - Update

OIGC Update

- 2013-2014 Annual Report
- Audit Committee Workshop
- Investigations and Audit Specialist – Melanie Yopp
- Other:
 - Certified Inspector General Auditor (CIGA) – Lori Clark
 - Certified Inspector General Investigator (CIGI) – Joe Maleszewski
 - Pursuing Certified Government Audit Professional (CGAP)
 - Pursuing Certified Compliance and Ethics Professional (CCEP)



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