

STATE UNIVERSITY SYSTEM OF FLORIDA
BOARD OF GOVERNORS
Audit and Compliance Committee
March 30, 2017

SUBJECT: SUS Compliance Programs Status Summary

PROPOSED COMMITTEE ACTION

Discussion of State University System of Florida institutions' compliance with Board of Governors Regulation 4.003, *State University System Compliance and Ethics Programs*.

AUTHORITY FOR BOARD OF GOVERNORS ACTION

Article IX, Section 7, Florida Constitution

BACKGROUND INFORMATION

At its November 3, 2016, meeting, the Board of Governors approved Regulation 4.003, *State University System Compliance and Ethics Programs*, which requires each institution to implement a university-wide compliance and ethics program as a point for coordination of and responsibility for activities that promote ethical conduct and maximize compliance with applicable laws, regulations, rules, policies, and procedures.

To aid in monitoring each university's progress in their compliance program implementation, and in coordination with the SUS Compliance and Ethics Consortium, the Board's Inspector General and Director of Compliance, Joseph Maleszewski, developed an *SUS Compliance Program Status Checklist*. It lists the regulation requirements for implementing an effective compliance program and was sent to university presidents and boards of trustees chairs in January 2017. The summary provided to the Committee today will serve as a diagnostic tool for charting universities' progress over time. Mr. Maleszewski will provide the Committee with a summary of the results from the January 2017 SUS Compliance Program Status Checklist.

Supporting Documentation Included: Summary Table from the January 2017 SUS Compliance Program Status Checklist Results

Facilitators/Presenters: Mr. Joseph Maleszewski